

20427 US PTO



PTO/SB/05 (01-04) (AW 02/2004)  
Approved for use through 7/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

|                        |   |
|------------------------|---|
| Attorney Docket No.    | ITC-335US                               |
| First Inventor         | Christian Mueller                       |
| Title                  | TEST HEAD POSITIONING SYSTEM AND METHOD |
| Express Mail Label No. | EV 351885370 US                         |

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- |   |   |
|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <span style="border: 1px solid black; padding: 2px;">63</span>] <br/><i>(preferred arrangement set forth below)</i><br/>           - Descriptive title of the Invention<br/>           - Cross References to Related Applications<br/>           - Statement Regarding Fed sponsored R &amp; D<br/>           - Reference to sequence listing, a table,<br/>           or a computer program listing appendix<br/>           - Background of the Invention<br/>           - Brief Summary of the Invention<br/>           - Brief Description of the Drawings (<i>if filed</i>)<br/>           - Detailed Description<br/>           - Claim(s)<br/>           - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <span style="border: 1px solid black; padding: 2px;">45</span>] <br/><span style="border: 1px solid black; padding: 2px;">1</span></p> <p>5. Oath or Declaration [Total Pages <span style="border: 1px solid black; padding: 2px;">1</span>]<br/>           a. <input type="checkbox"/> Newly executed (original or copy)<br/>           b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/> <i>(for continuation/divisional with Box 18 completed)</i><br/>           i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>           Signed statement attached deleting inventor(s)<br/>           named in the prior application, see 37 CFR<br/>           1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br/>Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>           b. Specification Sequence Listing on:<br/>           i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br/>           ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
|---|---|

## ACCOMPANYING APPLICATIONS PARTS

- |  |
|--|
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of<br/><i>(when there is an assignee)</i> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br/>Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122<br/>(b)(2)(B)(i). Applicant must attach form PTO/SB/35<br/>or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u>Certificate of Mailing by Express Mail</u><br/><u>Unexecuted Declaration and Power of Attorney</u></p> |
|--|

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation     Divisional     Continuation-in-part (CIP)  
*Prior application information*    *Examiner \_\_\_\_\_*    of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
*Group / Art Unit: \_\_\_\_\_*

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Insert Customer Number 23122    OR     Correspondence address below

|         |           |       |          |  |
|---------|-----------|-------|----------|--|
| Name    |           |       |          |  |
|         |           |       |          |  |
| Address |           |       |          |  |
| City    |           | State | Zip Code |  |
| Country | Telephone |       | Fax      |  |

|                   |                    |                                   |        |
|-------------------|--------------------|-----------------------------------|--------|
| Name (Print/Type) | Lawrence E. Ashery | Registration No. (Attorney/Agent) | 34,515 |
| Signature         |                    |                                   | Date   |
|                   |                    | March 30, 2004                    |        |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22387 U.S.P.T.O.  
10/813362

033004

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1262

| Complete if Known    |                   |
|----------------------|-------------------|
| Application Number   | To Be Assigned    |
| Filing Date          | Herewith          |
| First Named Inventor | Christian Mueller |
| Examiner Name        | To Be Assigned    |
| Art Unit             | To Be Assigned    |
| Attorney Docket No.  | ITC-335US         |

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money  Other  None  
Order
 Deposit Account (use as backup only):Deposit  
Account  
Number

18-0350

Deposit  
Account  
Name

The Director is authorized to: (check all that apply)

- 
- Charge fee(s) indicated below
- 
- 
- Credit any overpayments
- 
- 
- Charge any additional fee(s) or any underpayment of fee(s)
- 
- 
- Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 420      | 2252     | 210      | Extension for reply within second month                                    |          |
| 1253     | 950      | 2253     | 475      | Extension for reply within third month                                     |          |
| 1254     | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |          |
| 1255     | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1401     | 330      | 2401     | 165      | Notice of Appeal   |          |
| 1402     | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1403     | 290      | 2403     | 145      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive – unavoidable   |          |
| 1453     | 1,330    | 2453     | 665      | Petition to revive – unintentional   |          |
| 1501     | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   |          |
| 1502     | 480      | 2502     | 240      | Design issue fee   |          |
| 1503     | 640      | 2503     | 320      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810     | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801     | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

## SUBTOTAL (1)

(\$ 385)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | 84 | -20** | = | 64 | X | 9  | = | 576 | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|-------|---|----|---|----|---|-----|--------------|----------------|----------|
| Independent Claims | 10 | -3**  | = | 7  | X | 43 | = | 301 |              |                |          |
| Multiple Dependent |    |       |   |    | X |    | = | 0   |              |                |          |

## Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                     |
| 1201     | 86       | 2201     | 43       | Independent claims in excess of 3                          |
| 1203     | 290      | 2203     | 145      | Multiple dependent claim, if not paid                      |
| 1204     | 86       | 2204     | 43       | ** Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

## SUBTOTAL (2)

(\$ 877)

\*\*or number previously paid, if greater; For Reissues, see above

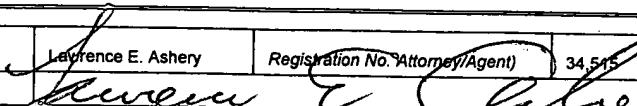
Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

## SUBMITTED BY

|                   |   |                                 |        |           |                |
|-------------------|---|---------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Lawrence E. Ashery  | Registration No. Attorney/Agent | 34,545 | Telephone | 610-407-0700   |
| Signature         |  |                                 |        |           | Date           |
|                   |   |                                 |        |           | March 30, 2004 |

Complete if applicable

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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|   |                                |                 |                         |
|---|--------------------------------|-----------------|-------------------------|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b><br>Applicant: Christian Mueller   |                                |                 | Docket No.<br>ITC-335US |
| <b>Serial No.</b><br>To Be Assigned   | <b>Filing Date</b><br>Herewith | <b>Examiner</b> | <b>Group Art Unit</b>   |
| <b>Invention:</b><br><b>TEST HEAD POSITIONING SYSTEM AND METHOD</b>   |                                |                 |                         |
| <p>I hereby certify that this <u>Utility Patent Application and enclosures</u> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Patent Application Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: <u>March 30, 2004</u>.</p> |                                |                 |                         |
| <u>Kathleen Libby</u><br><small>(Typed Or Printed Name o Person Mailing Correspondence)</small>   |                                |                 |                         |
| <br><small>(Signature of Person Mailing Correspondence)</small>   |                                |                 |                         |
| <u>EV 351885370 US</u><br><small>("Express Mail" Mailing Label Number)</small>  |                                |                 |                         |
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